

JUN 13 2008

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Takashi NOZAKI

Serial No.: 09/943,221

Filed: August 29, 2001

For: "COMMODITY ORDER SYSTEM AND  
COMMODITY ORDER METHOD IN..."Re: *Request for Corrected Notice of  
Recordation of Assignment Document*

Examiner: Lalita M. Hamilton

Art Unit: 3691

Our Ref: B-4293 619053-6

Date: June 13, 2008

Via Facsimile : 1-571-273-8300 (3 Pages)

**MAIL STOP ASSIGNMENT RECORDATION SERVICES**  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450**REQUEST FOR CORRECTED NOTICE OF RECORDATION  
OF ASSIGNMENT DOCUMENT (PTO FAULT)**

In reviewing the Notice of Recordation of Assignment Document dated NOVEMBER 08, 2001 in connection with the above-identified application, a copy of which is enclosed herewith, we note that the FILING DATE of the subject application is incorrectly listed on the Notice of Recordation as:

08/30/2001

The correct filing date of the U.S. application is:

08/29/2001

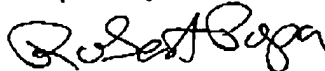
A copy of the Recordation Form Cover Sheet, Assignment document, and official Filing Receipt are enclosed evidencing that above information is correct. It is respectfully requested that the assignment recordation information be promptly corrected as indicated above.

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being transmitted by facsimile to the United States Patent and Trademark Office (USPTO) on the date shown below. Specifically, this correspondence is being telefaxed to the Patent Central Fax Number at (571) 273-8300 on June 13, 2008.

  
Veronica Yoon

Respectfully submitted,



Robert Popa  
Attorney for Applicant  
**LADAS & PARRY LLP**  
Reg. No. 43,010  
5670 Wilshire Blvd. Suite 2100  
Los Angeles, CA 90036  
Telephone: (323)934-2300  
Telefax: (323)934-0202

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LADAS PARRY LLP

JUN 13 2008

002/008

D-4270



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 08, 2001

PTAS

LADAS & PARRY  
RICHARD P. BERG  
5670 WILSHIRE BOULEVARD, #2100  
LOS ANGELES, CA 90036-5679



\*101838839A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/30/2001

REEL/FRAME: C12140/0572  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

NOZAKI, TAKASHI

DOC DATE: 07/31/2001

## ASSIGNEE:

PIONEER CORPORATION  
NO. 4-1, MEGURO 1-CHOME  
MEGURO-KU, TOKYO-TO  
TOKYO, JAPAN

## ASSIGNEE:

INCREMENT P CORPORATION  
7-1 SHIMOMEGURO 1-CHOME  
MEGURO-KU TOKYO-TO  
TOKYO, JAPAN

SERIAL NUMBER: 09943221  
PATENT NUMBER:

FILING DATE: 08/30/2001  
ISSUE DATE:

08/29/2001

012140/0572 PAGE 2

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

JUN 13 2008

004/008

09-11-2001



EXPRESS MAIL NO. EL896634976US  
B-4293 619053-6

Form PTO-1595

101838839

1 COVER SHEET

U.S. Department of Commerce

Rev. 6-93)

EXAMINER'S ONLY

Patent and Trademark Office

the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies): 8/30/01

Takashi NOZAKI

Additional name(s) of conveying parties attached? ☐ YES ☒ NO

Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: July 31, 2001

2. Name and address of receiving parties:

(1) Name: PIONEER CORPORATION  
Street Address: NO. 4-1, MEGURO 1-CHOME  
MEGURO-KU, TOKYO-TO, JAPAN  
City: TOKYO State: \_\_\_\_\_  
Zip: \_\_\_\_\_ Country: JAPAN

(2) Name: INCREMENT P CORPORATION  
Street Address: 7-1 SHIMOMEGURO 1-CHOME  
MEGURO-KU, TOKYO-TO, JAPAN  
City: TOKYO State: \_\_\_\_\_  
Zip: \_\_\_\_\_ Country: JAPAN

Additional name(s) & address(es) attached? ☐ YES ☒ NO

1. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
July 31, 2001

A. Patent Application No(s):

B. Patent No(s):

Additional numbers attached? ☐ YES ☒ NO

Name and address of party to whom correspondence concerning document should be mailed:

NAME: RICHARD P. BERG  
LADAS & PARRY

Internal Address: \_\_\_\_\_

Street Address: 5670 Wilshire Boulevard, #2100

City: Los Angeles State: CA Zip: 90036-5679

6. Total number of application and patents involved: [ 1 ]

7. Total fee 937 CFR 3.41).....\$ 40.00

☒ Enclosed

Any additional fees which may be required are

☒ Authorized to be charged to deposit account

No. 12-0415

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

09/11/2001 BYRNE 00000004 09943221

FC:581

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

RICHARD P. BERG

Name of Person Signing

Reg. No. 28,145

Signature

August 29, 2001  
Date

Total number of pages including cover sheet, attachments, and document: [ 4 ] plus \$40 check

Mail documents to be recorded with required cover sheet information to:

EL896634976US

Attorney's Docket No. B-4293-619053-6**PATENT**

For: ☐ U.S. and/or ☐ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent  
For: ☐ PCT Application  
By: ☐ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

(inventor(s) or person(s) or  
entity(ies) who own the  
invention)

Takashi NOZAKI

(type or print name(s) of ASSIGNOR(S))

c/o Increment P Corporation

(Address) 7-1 Shimomeguro 1-chome  
Meguro-ku, Tokyo-to, Japan

Japanese

(Nationality)

(if assignment is by person or entity to whom invention was previously assigned and  
this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**PIONEER CORPORATION

(type or print name(s) of ASSIGNOR(S))

No. 4-1 Meguro 1-chome, Meguro-ku

(Address)

Tokyo-to, Japan

(Nationality)

**ASSIGNEE:**INCREMENT P CORPORATION

(type or print name(s) of ASSIGNOR(S))

7-1 Shimomeguro 1-chome, Meguro-ku

(Address)

Tokyo-to, Japan

(Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest  
 for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority,  
 in and to any and all improvements which are disclosed in the invention entitled:  
COMMODITY ORDER SYSTEM AND COMMODITY ORDER METHOD IN COMMODITY ORDER SYSTEM

(check and complete (a), (b), (c) or (d))

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith  
 (b) ☐ U.S. patent application executed on \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.  
 (c) ☐ U.S. application serial no. 0 / \_\_\_\_\_ filed on \_\_\_\_\_  
 (d) ☐ International application no. PCT/\_\_\_\_\_  
 (e) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent, regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (f) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention [16-3]—page 2 of 3)

IN WITNESS WHEREOF, I We have hereunto set hand and seal this

31st day of July, 2001 (Date of signing).

**WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked above

Signature of ASSIGNOR(S)

*Yakushi Hozaki*

Signature of ASSIGNOR

**If ASSIGNOR is a legal entity complete the following information**

(Type or print the name of the above person authorized to sign on behalf of ASSIGNOA)

**True**

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Naturalization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)

JUN 13 2008

008/009  
145V 1 012



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,221	08/29/2001	3624	764	B-4293 619053-6	2	23	2

LADAS & PARRY  
Suite 2100  
5670 Wilshire Boulevard  
Los Angeles, CA 90036-5679

CONFIRMATION NO. 8881

CORRECTED FILING RECEIPT



\*OC000000008588264\*

Date Mailed: 08/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takashi Nozaki, Tokyo-to, JAPAN;

Assignment For Published Patent Application

PIONEER CORPORATION;  
INCREMENT P CORPORATION;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN P2000-260088 08/30/2000

If Required, Foreign Filing License Granted 10/03/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Commodity order system and commodity order method in commodity order system



**Preliminary Class**

705

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